



Letter to Partners on Integrity Initiative

Dear Valued Partners,

With the support of all colleagues and partners, CATL has effectively promoted the construction of an integrity system, and the overall effect is good. Under the leadership of the board of directors, an integrity construction organization system has been established with the Code of Conduct Committee as the guiding body and the heads and supervisors of departments at all levels as the main body of responsibility. Based on the "CATL Code of Conduct" and referring to the international standard of ISO37001 anti-bribery management system, we have established an integrity system and supervision system covering the entire group, and carried out various rich-content publicity and education activities for all employees and partners to continuously deepen the integration of the integrity system with various business activities. The investigation and supervision of violations of laws and regulations have been intensified, forming a clean and upright cultural atmosphere, and the awareness of integrity is deeply rooted in our hearts.

In order to create a clean era with CATL partners, establish a long-term cooperative partnership of "closeness and cleanness", create a clean ecological environment of "symbiosis and win-win", and maintain the healthy and sustainable development of the new energy industry, CATL hereby releases to its partners an initiative as follows:

1. CATL advocates a culture of integrity, abides by business ethics, laws and regulations and codes of conduct, abides by the principle of fair trade, and is committed to creating a fair, open and market-oriented business environment with partners.
2. CATL advocates maintaining a "close and clean" cooperative relationship with partners. Partners are required to consciously abide by laws and regulations, strictly abide by integrity commitments, actively declare conflicts of interest, and conduct integrity advocacy for employees and related parties who are in contact with CATL: do not bribe CATL employees and their related persons in any way.

3. CATL opposes any form of commercial bribery, insists on zero tolerance for corruption, and severely cracks down on all kinds of corrupt behavior. For individual violations of laws and regulations, rules and systems, and integrity agreements, CATL will strictly follow the regulations/rules to investigate the responsibility of the relevant individuals and parties, and resolutely transfer those suspected of violations and crimes to judicial authorities.
4. Integrity and self-discipline are the basic requirements of CATL for employees, and anti-corruption is an important responsibility for CATL to undertake corporate social responsibilities. If you find CATL employees who offer bribes, ask for bribes, accept bribes, ask for hospitality or benefits in return of bypassing unreasonable blockage created by such employee, leak secrets, neglect duty, abuse power or have other inappropriate behaviors, please report to the CATL Code of Conduct Committee in a timely manner. CATL will strictly follow regulations/rules to protect the whistleblower's information, give handsome rewards, and continue to cooperate with the partner within the scope of rights, and provide relief of relevant liability.

Whistleblowing Email: CATL-COC@catl.com

Whistleblowing Hotline (Weixin same): 19959356699

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